



CAYMAN ISLANDS

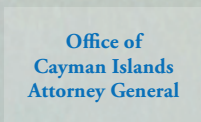
ADVANCED FINANCIAL CRIME PREVENTION

TRAINING COURSE FOR THE AMERICAS & THE CARIBBEAN

Supported by the Cayman Islands' Government

Ritz Carlton Hotel
Grand Cayman
11th-15th June 2007

In association with



Incorporating
Special AML & CFT
Sessions

Course Leader & Speaker Biographies



Gert Demmink
(Course Leader)



Gert Demmink is former Head of Department, Expert Centre for Integrity, in the Directorate of Supervision of the Netherlands Central Bank (De Nederlandsche Bank N.V.), responsible for the supervision of efforts to combat money-laundering, financing of terrorism and (tax)

fraud. Currently he is Executive Director, Nederlands Compliance Instituut. He is also senior adviser to the Central Banks in the Netherlands IMF Constituency (mainly former Soviet Republics) with regard to AML/CFT/Corruption and (tax) fraud. He has also advised Ukraine, Georgia, Armenia & Bulgaria.

Other Skills:

- Deputy Judge in the Amsterdam Criminal Court (since November 2001)
- Freelance trainer with FMO (Institute for the Financing of Developing Countries): presentations and in-house workshops in Islamic banks in Dhaka, Bangladesh in 2003
- Trainer with the Netherlands Compliance Institute.
- Chairman, Training & Education Committee of Netherlands Chapter ACFE.
- Lawyer & Forensic Auditor by profession

Professional Experience Record:

- 1991 – 1996: Ministry of Justice in the Netherlands. Senior policy officer in the field of international co-operation (police/prosecution)
- 1996 – 1997: Netherlands Antilles Government Project Manager for the Financial Intelligence Unit.
- 1998 – present: De Nederlandsche Bank N.V.



Ken Farrow



Ken Farrow is Director of Investigative Services for Control Risks, one of the UK's leading specialist risk consultancies with 18 offices on five continents. He leads a team of investigators, most of whom come from law enforcement backgrounds, are able to carry out fraud vulnerability studies, investigate fraud as well as trace and recover assets in domestic or multi-jurisdictional cases.

Prior to joining Control Risks, Ken was the Head of Group Financial Crime for Lloyds TSB Bank in London and was the former Detective Chief Superintendent in charge of the City of London Police Economic Crime Unit. During his 34 years as a police officer he worked in a variety of countries, exclusively within the CID and Fraud Squad managing investigations in relation to fraud and corruption including banking and insurance fraud, computer crime, organised cheque and credit card fraud and money laundering. He was also seconded to the Serious Fraud Office for two years and worked on a number of significant corporate fraud investigations.

He is the former Chairman of the UK's Association of Chief Police Officers' National Working Group on Fraud, a position he held for 7 years and is currently a Trustee Director of the UK's Fraud Advisory Panel (www.fraudadvisorypanel.org) an independent body of volunteers drawn from the public and private sectors. Its role is to raise awareness of the immense social and economic damage that is caused by fraud and to develop effective remedies.



Edward Wilding



Edward Wilding, CTO, has successfully investigated several hundred cases of computer fraud and forgery, IP infringement, system sabotage and misuse in many jurisdictions. He has served as an expert witness for the prosecution and the defence in criminal cases, at employment tribunals, in civil litigation and

at official hearings including the Hutton Inquiry into the death of weapons expert Dr. David Kelly CMG. He is the author of two acclaimed books, 'Computer Evidence: A Forensic Investigations Handbook' (1996) and 'Information Risk and Security: Preventing and Investigating Workplace Computer Crime' (2006). An accredited tutor on computer fraud and misuse at Royal Holloway, University of London, Ed has lectured worldwide on forensic methods and investigative techniques to law enforcement agencies, law firms, government bodies and IT security and audit professionals.



Steven Philippsohn



Steven Philippsohn is a leading authority on fraud. Over the last 20 years his firm has been retained by national and international organisations all of whom have been victims of fraud. This firm is a leading fraud litigation practice in the UK as identified in the 2001 edition of The Legal 500.

Steven has been invited to speak regularly to international corporations and institutions as well as to other lawyers. He has recently given papers at the International and American Bar Associations, the Global Forum for Law Enforcement and National Security and to a UK Government department.

He is asked for comment regularly by the media and has been interviewed on BBC, ITV, Sky Television and CNBC. He is regularly invited to write articles and is quoted in the mainstream media as well as specialist law publications. In addition, he is co-editor of the UK Manual of the Association of Certified Fraud Examiners and is an active member of the Association. He is the co-author of the "Security and Assurance" chapter of the City & Financial publications of "e-Commerce From Cradle to Grave: A Practitioner's Manual".

He is Chairman of the Cybercrime Working Party of the Fraud Advisory Panel, a member of the IAAC and on the Editorial Boards of e-Commerce Law & Policy and Inside Fraud Bulletin.

Steven obtained Honours in the Law Society qualifying examinations, prior to becoming a solicitor in 1972. He moved quickly to become the litigation partner of a substantial Central London practice prior to starting his own firm in 1979.



Hellen Chirino-Roosberg

Hellen Chirino-Roosberg is an Independent AML/CFT Specialist based in Washington D.C. As a Financial Enforcement Advisor for the US Department of the Treasury, she advises foreign governments on AML/CFT issues. As a Consulting Counsel for the International Monetary Fund (IMF) in Washington DC,

she delivered technical assistance to IMF member countries was responsible for legal and FIU aspects in AML/CFT country assessments, Desk Reviews and Countries' Needs Assessments. Previously she was the managing director of the Financial Intelligence Unit (FIU) of The Netherlands Antilles from 1997 to 2004. During this period she was vice chair of the Egmont Group Committee, co-representing the USA, Latin America, Canada and the Caribbean, member of the Egmont Training Working Group and Observer at CFATF for the Egmont Group. Having held various positions in Financial Institutions, she brings insight from both the private and the public sector.

Hellen has a legal, financial and AML/CFT background and anti-fraud skills. Her legal background includes a Masters Degree in Law and a specialisation in Securities Exchange Law. Her financial training consists of specialized courses at the Netherlands Institute for Banking and Securities Business (NIBE), at the New York Institute of Finance (NYIF) and at the Social Economic Faculty (SEF) of the University of The Netherlands Antilles. She is a CAMS (Certified Anti-Money-laundering Specialist), Associate member of ABA (American Bar Association) and member of ACFE (Association of Certified Fraud Examiners). She enjoys sharing her knowledge and experience at international conferences and workshops.

Agenda & Course, Cayman Islands 2007

Day 1, Monday 11th June 2007

Opening Address:
His Excellency, Governor of the Cayman Islands, Stuart Jack

Keynote Address:
Calvin Wilson, Executive Director, CFATF

Presentation:
International dignitaries

The Principles of Prevention

Effective fraud and financial crime prevention is essential to all banking operations. Organisations are continuing to lose millions through an increase in internal fraud and organised crime, and so the problem remains an unmanaged risk. It is vital to take a robust view, create and establish a proactive anti-fraud culture and approach to fraud prevention, identifying and positively managing fraud risk, implementing strategic and practical systems, and become internationally compliant.

Introduction 09:00

Introduction to course by Gert Demmink, Head of Faculty

The Need for Controls 10:00

Fraud & Security Risks
The importance of management policy
Understanding core and non-core business
Code of ethics and business principles
Linking policy and operations
Assignment of responsibilities
Communication
Resource allocation; fraud prevention & deterrence at an affordable price
Integrity check list - KYC, personal backgrounds and source of funds

Morning Coffee 10:45

Risk Assessment 11:00

The importance of measuring risk
Fraud prevention strategies
Multi-level responsibility
Fraud risk management & identifying vulnerability
Implementation of Due Diligence Guide lines
Communicating awareness of risk
The risk of management function
Risk management methodologies
Risk management systems

Lunch 12:45

Deterrence 14:15

Deterrence measures and systems
Long term Security Policies
Assurance mechanisms
Enforced guidelines
Reporting structures
Financial fraud & AML reviews

Afternoon Tea 15:45

Education and Awareness 16:00

Creating staff awareness at all levels
Collective responsibility
Ethics and morals
On going and progressive training implementation

Summary and Close 17:30

Evening Reception 17:45

Day 2, Tuesday 12th June 2007

The Electronic Threat

Computer Fraud & Misuse

This intensive one-day seminar examines computer related threats in the business environment and the technical and procedural methods to investigate computer misuse. You will discover how best to respond to a suspected fraud or computer crime in the workplace, and the many legal pitfalls that can entrap the unwary when gathering evidence.

The actions taken by those initially at the scene of computer misuse largely determine the success or failure of the ensuing investigation. This reinforces the necessity to train "first responders" in the immediate steps that must be taken to preserve evidence and ensure its admissibility.

The programme emphasises proven and practical solutions to a variety of technical emergencies and demonstrates current "best practice" for computer crime incident response. Emphasis is placed on interactive, realistic training where attendees, working in teams, are required to investigate a number of cases that are based on real incidents.

Introduction and Welcome 09:00

<http://ifcct.faircount.com>

Computer Threats 09:15

An overview of the risks and the mechanics of:
- computer fraud;
- system sabotage, extortion, e-crime and misuse including case studies

Sarbanes Oxley
- SOX and ICT controls
- Control failures common in banking and finance
- Catastrophic risks
- Computer fraud resilience and the audit process

Incident Response
Chain of command and coordination;
Operational security;
Liaising with external agencies;
The computer forensic response team;
Secure exit procedures when employees are suspended or dismissed

Morning Coffee 10:45

Initial Suspicions 11:00

The early warning indicators of fraud, computer crime and misuse.

Investigative Circumstances
How best to commence and conduct the investigation.
Technical resources to facilitate the investigation.
Covert investigations.

Investigative Methodology
10 analytical methods common to all investigations.

Lunch 13:00

Computer Evidence 14:00

- Controlling the electronic crime scene;
- How to handle, collate, store and present admissible computer evidence;
- Presenting technical evidence in a courtroom, inquiry or at a tribunal.

Computer Forensics
An overview of tools and techniques to recover and restore:
- data;
- email;
- multi-media.

Afternoon Tea 15:45

Prevention & Detection 16:00

ISO 27001 - International Standards of Information Security Management

Latest techniques - The latest electronic crime trends and methods

Preserving Evidence and Regulatory Applications

Group exercise 16:30

US\$22 million dollars is missing from a client account and your IT Manager has disappeared - what will you do?

Summary and Close 17:30

Day 3, Wednesday 13th June 2007

The Internal Threat

The insider remains the greatest danger to any organisation, and the threat is increasing. Organisations around the world continue to lose vast sums to fraud committed by their own staff and to planted employees. These people are best placed to take advantage of any lax or complacent controls, and recent figures reveal that nearly eight out of ten cases of fraud involved them. Organisations need to be aware of all factors affecting staff and guarantee that systems are in place to effect due diligence in all areas of employee recruitment and monitoring.

Identifying the Risk 09:00

Fraud risk profiling
Politically Exposed Persons (PEP's)
Vulnerable areas of business
Vulnerable times - economic factors
Factors affecting staff
Bonuses and incentives
Welfare and personal triggers
Redundancy and mergers
Corruption and bribery

Recruitment and Human Resources 10.00

Role of Human Resources- first line of defence
Recruitment policy & Reference taking

Advanced Financial Crime Prevention Training

Pre-Employment Screening	
Interviewing techniques	
Psychometric screening	
Communication and welfare	
Training & Awareness	
Morning Coffee	10:45

The Elements of Personnel Security	11:00
Screening	
Security Clearances	
Supervision	

Lunch	12:45
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Case Study - Prevention Measures	14:15
Whistle blowing	
Employee monitoring	
Collective responsibility	

Afternoon Tea	15:45
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Staff rotation	16:00
Developing an anti - fraud culture	
Setting realistic forecasts and targets	
The 2-person-principle	
The need to know Principle	
Maintaining moral standards	

Summary and Close	17:30
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Gala Dinner	19:00
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Day 4, Thursday 14th June 2007

Money Laundering, Terrorist Financing & Compliance

New and emerging legislation and international regulations require the utmost vigilance and supervision for all organisations and to be reactively alert to the dangers of Money Laundering and terrorist Financing. Harsh penalties, black listing and loss of reputation are the penalties awaiting those not adequately observant. Increasingly though, internet transactions, gambling and the exploitation of professional services have become the targets for organised crime. Good systems, effective controls, information sharing and a fluid communications structure are all becoming minimum requirements to combat these crimes.

International Legislation & Cross border Co-operation	09:00
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World organisations - FATF/OECD/UN/IMF/World Bank	
New legislation & New Standards	
The Impact of Sanctions	
US & EU Initiatives & Directives	
Information and intelligence Sharing	
Obtaining International Assistance - MLAT's/MOUs	
Communications Network	
Proactive Investigations	

Morning Coffee	10:45
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Terrorist Financing	11:00
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Identifying the nature and scale of terrorist financing	
Accessing the structure of Cell networks from a Global perspective	
Money transfers, remittances & corresponding Banking	
Transaction Monitoring governance and responsibilities	

Lunch	12:45
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The Money Laundering Process Explained	14:15
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The role of the MLRO	
Methodology and patterns	
E-Banking & Money Laundering	

Afternoon tea	15:45
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Case Studies	16:00
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Off Shore Banking - Mutual Funds, Hedge Funds & other complex financial instruments	
Hawala & Underground Banking	
Tax Evasion & The Money Laundering Relationship	
Financial Market's - Investments, Securities	

Summary and Close	17:30
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Day 5, Friday 15th June 2007

Crisis Management & Action Plans

Despite the most rigorous fraud prevention measures being implemented, it is essential to have an effective contingency programme. Appropriate planning, nominating officers, dividing responsibilities and effective notification, are all required to afford the greatest chance for complete recovery and remain fully compliant. The appointment of the Crisis Management Team is an essential instrument ensuring that the institution is properly prepared in effectively responding to the crisis and prepared for the complex requirements and procedures that immediately result.

Contingency Planning	09:00
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Crisis management action plan	
Crisis management team	
Corporate response	

Developing an Action Plan	10:00
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Prevention of further loss	
Secure assets	
Manage Internal and external communication plans	

Morning Coffee	10:45
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Action Plan - Cont.	11:00
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Secure Evidence	
Solvency	
Reputation- PR	
Separate organisation and employee	
Internal and external communication	

Lunch	12:45
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Notification	14:15
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Directors	
Regulators	
Shareholders	
Insurers	
Police	

Afternoon Tea	15:45
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Recovery	16:00
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Instructing lawyers	
Litigation options	
International co - operation	

Summary and Close	17:30
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Venue



Places are transferable throughout the course

Advanced Financial Crime Prevention Training Course

For those directly involved with AML and CFT, the challenge posed by ever more sophisticated and elaborate methods, coupled with the involvement of organised criminal groups, is compounded by the need to adapt internal practices and systems to comply with the raft of newly enacted international legislation designed to combat financial crime. Add to this recent US and EU directives and initiatives, the need to remain in touch with developments is imperative.

IFCCT's Advanced Financial Crime Prevention and Compliance Training Course, which includes both new interactive delegate case studies and strategies for early detection of, and effective recovery from, criminal financial activity, is a fundamental necessity.

The Cayman Islands Advanced Financial Crime Prevention and Compliance Training Course will specifically address current threats and operational issues regularly encountered by organisations based in the Americas and the Caribbean. Delegates will receive intensive training from leading international practitioners and experts carefully selected on the basis of their extensive local and international practical working experience. The course's dynamic and interactive format is designed to allow detailed and specific working problems to be addressed and delegates' questions to be effectively answered with the appropriate technical knowledge and advice.

The expertise delegates acquire on the course will significantly increase their and their organisations' abilities to anticipate organisational threats. Improved understanding of the strategic considerations, resulting in the improvement of internal systems, will help organisations to become more robust in resisting persistent external and internal threats, maintain their integrity and preserve their reputations.

Unparalleled Expertise

IFCCT courses enjoy the close backing and endorsement of major international organisations and institutions, such as the United Nations, World Bank, OECD, Council of Europe, European Commission, FBI, FSA, Interpol and US Federal Reserve. IFCCT's hugely popular winning formula utilises the unparalleled expertise inherent in such organisations and combines it with that of their regional and domestic counterparts to provide a unique advanced learning and interactive experience.

IFCCT's systematic, intensive and comprehensive training programme is predicated on imparting a more comprehensive understanding of the main areas of organisational vulnerability. Designed to improve operational performance, provide practical solutions and significantly improve the internal prevention and detection systems as well as being internationally compliant, the course endorses the view that staff responsible for fraud and financial crime prevention should be dedicated experts.

Delegates completing the course will be trained to identify and implement an effective fraud prevention regime.

The course is certificated.

“Financial crime and money laundering is indeed a global problem which requires a concentrated global response. In order to protect our respective financial systems from destabilising effects of financial crime and money laundering, it is imperative that we have comprehensive training”

Who will benefit from participation?

The IFCCT Advanced Financial Crime Prevention Training Course has been created to address and counter the unprecedented challenge that the financial services sector faces from the constantly evolving and ever sophisticated forms of financial crime.

As sophisticated as the threats it sets out to neutralise, the IFCCT programme is the most advanced course of its type and therefore essential for:-

- Government Ministries
- Central Banks
- Regulatory Bodies
- Intergovernmental Organisations
- Banks & Financial Institutions
- Law Enforcement Agencies
- Multi-national Corporations
- Public Prosecutors
- Tax Authorities

In particular, it is of paramount importance to those working in:-

- Anti-Money Laundering
- Compliance / MLRO
- Legal
- Risk Management
- Audit, External & Internal Control
- Corporate Security
- Finance & Operations Departments
- Financial Crime Department
- Information Technology Departments
- Supervision, Examination & Inspection Departments
- Investigation Units
- HR, Education & Training Departments

COURSE INFORMATION

Advanced Financial Crime Prevention Training Course for the Americas and the Caribbean

LANGUAGES

English and Spanish

Venue: Ritz Carlton Hotel, Grand Cayman, Cayman Islands
Dates: 11th – 15th June 2007

Fees	5 days	3 days
Residential	£3,950	£2,715
Non residential	£2,750	£1,950

Includes course fees, all materials, lunches and social events

Multiple Booking Discount
A 10% discount is applicable to organisations booking four or more delegates.





ACCOMMODATION

IFCCT has negotiated special hotel rates for delegates. Details will be sent immediately following registration and can also be viewed on our website.

BOOKING CONDITIONS

1. Registration is transferable between individuals.
2. An administration fee of 20% will be charged if notice of cancellation is received in writing by 11th April 2007. After this date, no refunds will be made and the full fee will be due.
3. IFCCT will ensure that the published programme will be delivered, but reserves the right to amend course content, speakers or agenda at any time due to circumstances beyond its control.

HOW TO REGISTER

-  Complete your booking registration online at <http://ifcct.faircount.com>
-  Complete this form, photocopy and fax back to Training Dept. +44 (0)20 7117 3338
-  Speak to one of our senior representatives on +44 (0)20 7428 7000
-  Complete form and send with cheque or proof of telegraphic transfer to: Faircount Media Group, 5 Ella Mews, London NW3 2NH, UK. (If sending a cheque under separate cover, please make the names of delegates clear)



5 Ella Mews, London NW3 2NH, UK
t +44 (0)20 7428 7000
f +44 (0)20 7117 3338
e ifcct@faircount.co.uk

DELEGATE REGISTRATION

Please use **BLOCK CAPITALS**

Full name	<input type="text"/>
Job title/function	<input type="text"/>
Company	<input type="text"/>
Address	<input type="text"/>
Telephone	<input type="text"/>
Fax	<input type="text"/>
E-mail	<input type="text"/>
Signed	<input type="text"/>
Date	<input type="text"/>

BOOKING & PAYMENT DETAILS




1. Please denote the package and no. of delegates required below:-

<input type="checkbox"/> x 5 day residential	at £3,950 pp*	subtotal	<input type="text"/>
<input type="checkbox"/> x 5 day non residential	at £2,750 pp	subtotal	<input type="text"/>
<input type="checkbox"/> x 3 day residential	at £2,715 pp*	subtotal	<input type="text"/>
<input type="checkbox"/> x 3 day non residential	at £1,950 pp	subtotal	<input type="text"/>
<input type="checkbox"/> Multiple booking discount (if applicable)		subtotal	<input type="text"/>

*includes all state and local taxes in effect at the time of check in, and service charges

TOTAL GBP

2. Debit my credit card (Please tick appropriate box and complete details below)

<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>		<input type="checkbox"/>
Card no	<input type="text"/>					
3/4 digit security code	<input type="text"/>	Expiry date	<input type="text"/>			
Name on card	<input type="text"/>					
Billing address	<input type="text"/>					
Signed	<input type="text"/>					
Date	<input type="text"/>					

3. Invoice my company for payment by cheque or telegraphic transfer

DELEGATE DETAILS

Please enter the names of all delegates below

Delegate 1	<input type="text"/>
Position	<input type="text"/>
Delegate 2	<input type="text"/>
Position	<input type="text"/>
Delegate 3	<input type="text"/>
Position	<input type="text"/>
Delegate 4	<input type="text"/>
Position	<input type="text"/>

***PLEASE ENCLOSE ADDITIONAL SHEET IF MORE THAN 4 DELEGATES**